

Financing Court Automation

Resource Identification



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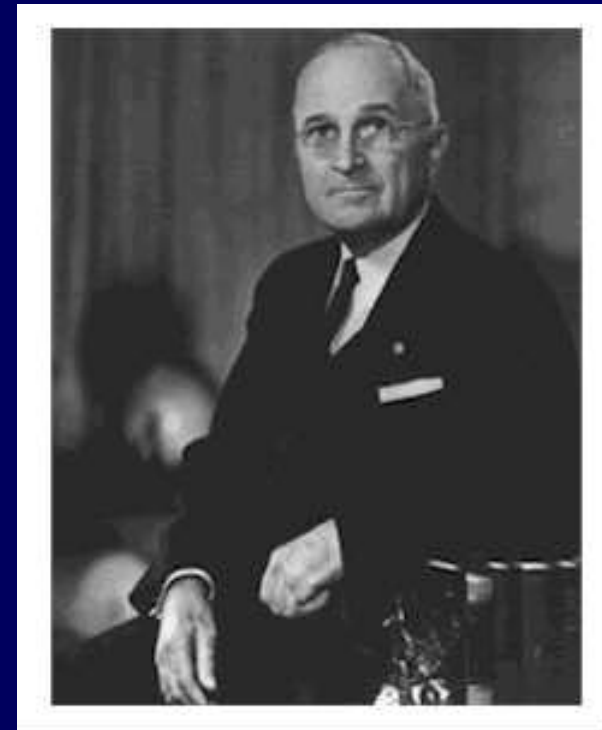
Future Budget Decision Makers



Courts must be prepared to communicate the public benefits of automation and compete for resources with schools, health care and other important social programs.

Performance based budgeting is here to stay! Proving the effectiveness of IT projects is now a requirement in GPRA.

The Buck Stops Here!

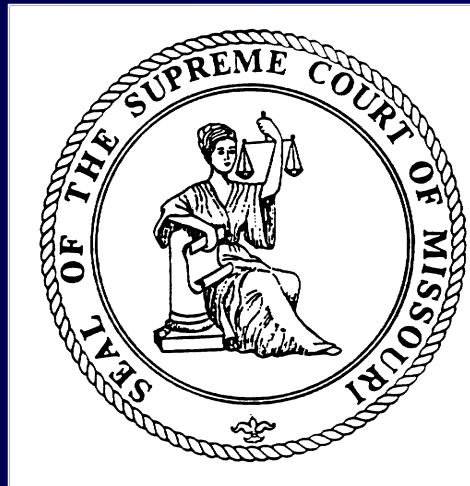


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Legislative

ICM & PI Faculty



Judicial

MPA-Mizzou



Executive

ICM Fellow

Hawthorn Institute

Show-Me



Money

Strategic
Budgeting

CPS in PB
Budgeting

Examples of
\$\$\$ for IT

Court IT Funding Areas

- ◆ General Funds
- ◆ Special Filing Fees
- ◆ Grants
- ◆ Bonds
- ◆ Selling Court Information

General Funds

- ◆ Hard to come by in hard economic times
- ◆ Very competitive
- ◆ Most flexibility in expenditures
- ◆ Supports ongoing costs

Special User/Filing Fees

- ◆ Typically requires legislation to be passed and/or local court or state rules to be adopted
- ◆ May come with sunset provision
- ◆ Create bias against GR enhancements

Grants

- ◆ Good for one-time costs
- ◆ Most rules and regulations
- ◆ Very competitive & time driven
- ◆ Administratively burdensome
- ◆ Performance closely tracked

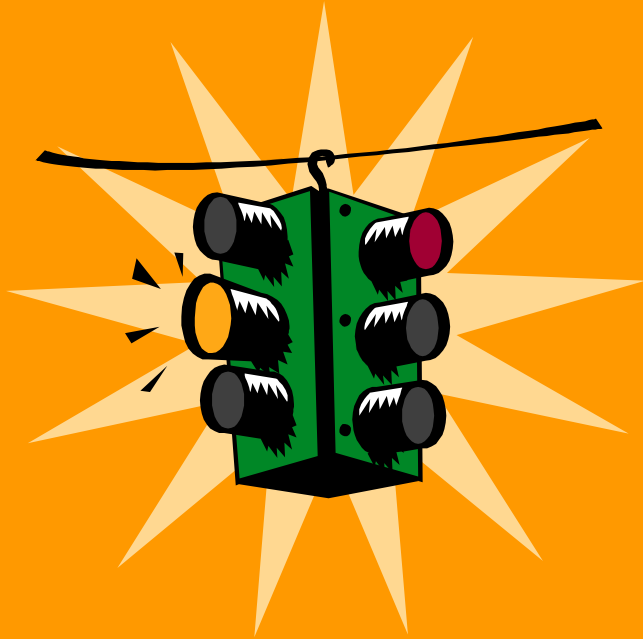
Bonds

- ◆ Often requires legislation
- ◆ Governed by statutes, rules, regulations
- ◆ Can increase flexibility
- ◆ Debt service increases item costs over time.

Selling Court Information

- ◆ What info to sell to vendors
- ◆ Confidentiality questions
- ◆ Data offset fund (NY)
- ◆ Competition with other agencies

Putting on the Brakes



Problem Identification

Validation – Buy parts

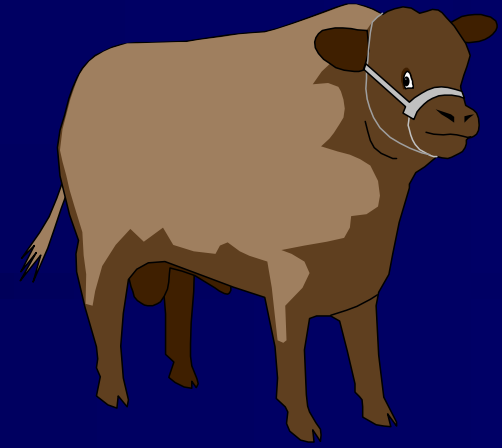
Deeper Analysis – More

Buy more parts

Additional Procedures

Test the final efforts

The Larkin Tips



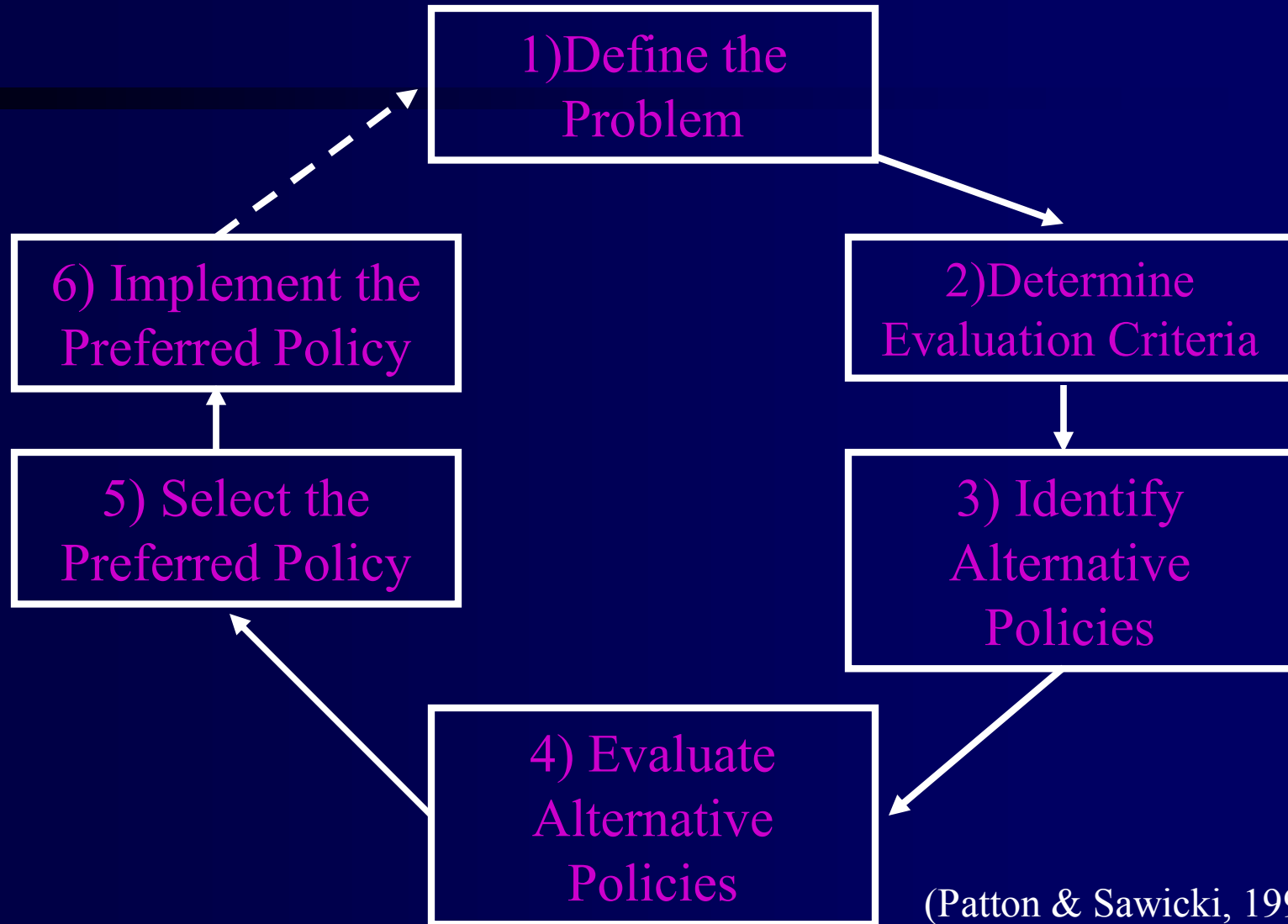
- 1) Think differently
- 2) Give people what they want
- 3) Budgeting is not seasonal
- 4) Think Big... Think Excitement
- 5) Courts As Gatekeepers

Practical Tips

- 6) Put the right players on the field
- 7) Fish all the ponds
- 8) Be entrepreneurial
- 9) Seek flexibility
- 10) Balance need with greed



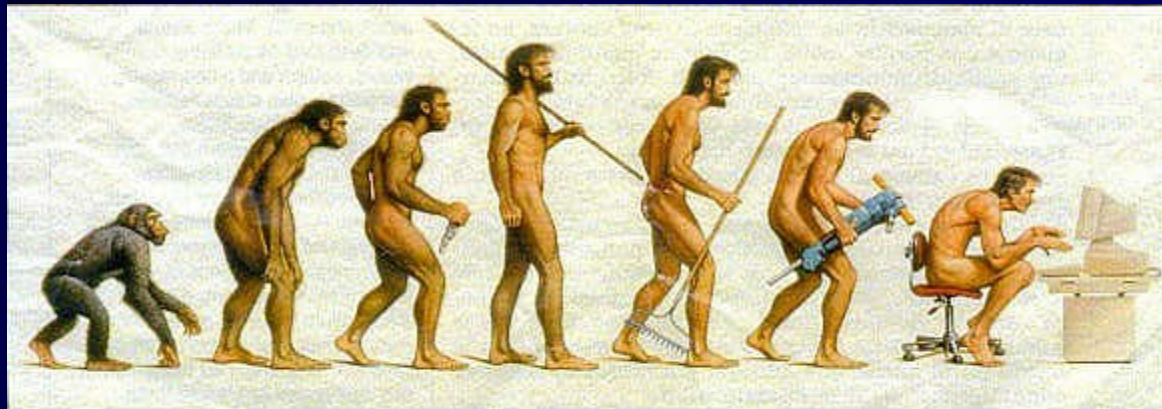
Classical Rational Problem-Solving Process



(Patton & Sawicki, 1993)

Problem Analysis and Evolution

- Perceived Problem
- Stakeholder Analysis
- Align problem based upon systems thinking



Why Automate?

~~What's In It for
Me?~~

~~What's In It for
US?~~

**What's In It for
our Customers?**

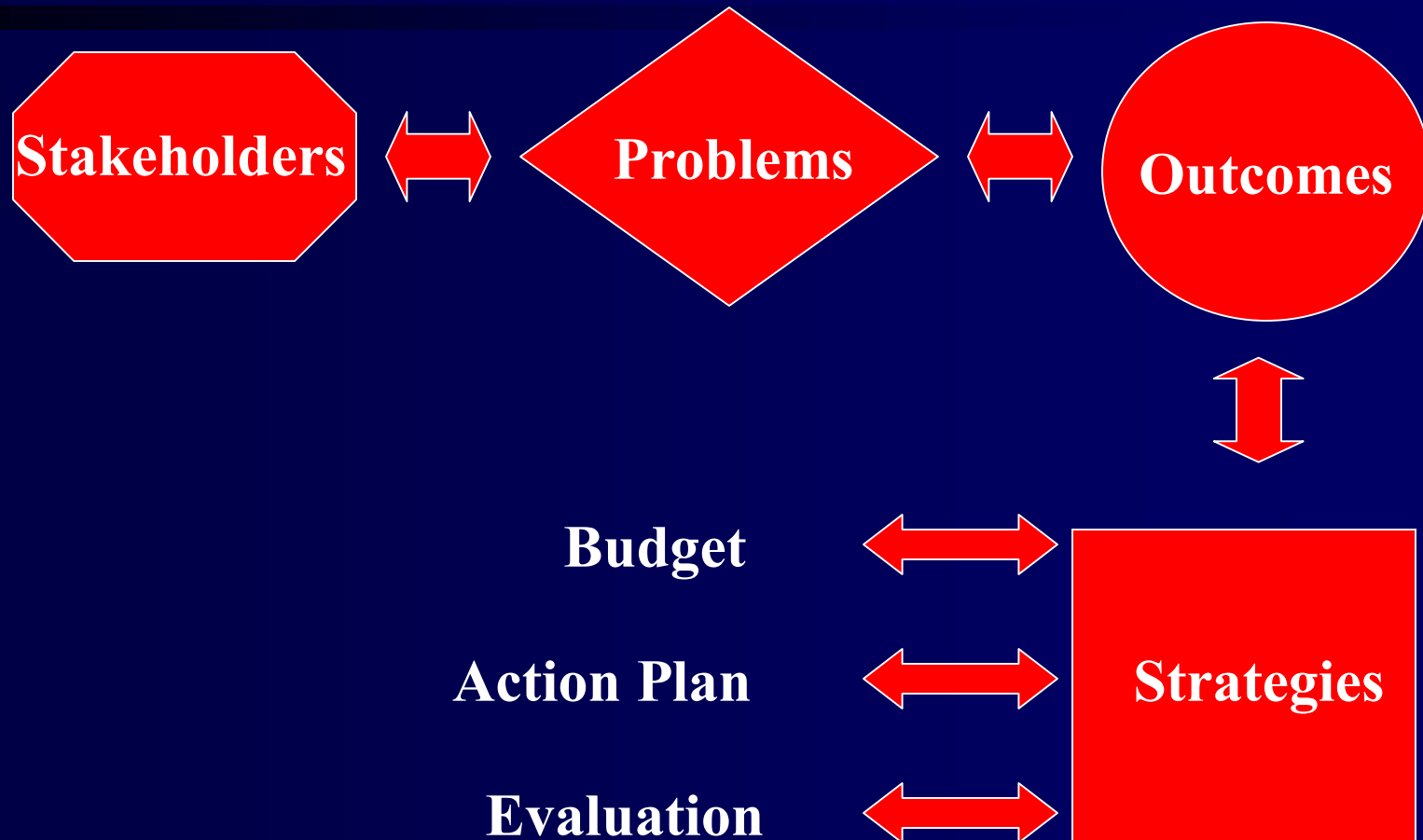
Outcomes of Automation

Outcomes are end points related to **public benefits** for which a level of success can be determined.

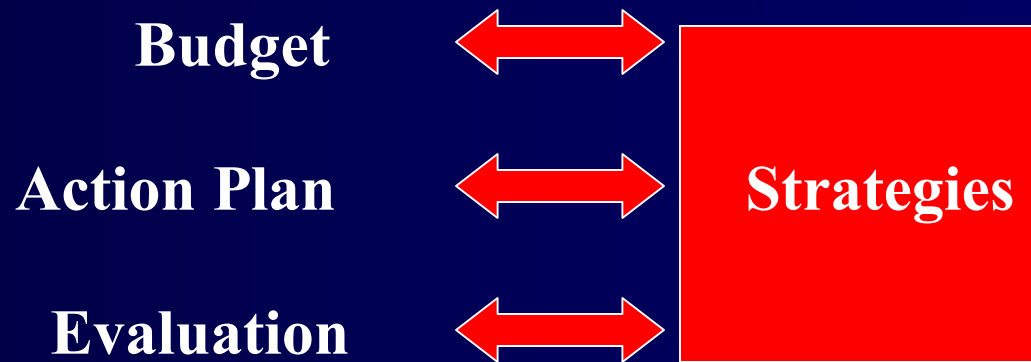
Outcomes of Automation

Outcomes are not owned by a single agency...rather by the **general public**. They often cross agency and program lines in public and private sectors.

Strategic Budgeting



Strategic Budgeting



Court Automation Projects are strategies to address a particular problem. Defining that problem in a measurable and motivational way is the goal of any budget or grant narrative!

Sample Stakeholders

Public

Probation

Politicians

**Court
Problem**

Police

Public Def.

Treatment

Corrections

Local Bar

(CPS) Court Performance Standards

Application to Budget/Grant
Development and Performance
Measurement in IT Funding

TCPS - What Are They



- They are guiding principles for judicial administration - not rules
- They are court specific tools - not for court to court comparisons.

Building a Bridge
PROBLEMS MOTIVATE

GOALS DIRECT

Court Performance Standards

- ◆ Access to Justice
- ◆ Expedition and Timeliness
- ◆ Equality, Fairness and Integrity
- ◆ Independence and Accountability
- ◆ Public Trust and Confidence

Automation Projects

Example 1: Web Site for Legal Services

Falls under: Access to Justice

1.5.2 Access to Affordable Civil Legal Assistance

Form 1.5.2 Illustrative Data Collection Form

Automation Projects

Example 2: Creation of Automated System for Docketing Cases

Falls under: Expedition & Timeliness

2.1.2 Ratio of case Disposition to Case Filing

Form 2.1.2 Ratio Clearance Worksheet

Automation Projects

Example 3: Jury Management System

Falls under: Equality, Fairness & Integrity

3.2.2 Random Jury selection procedures

No Forms: Internal or External Evaluation

Automation Projects

Example 4: System for Tracking Fines & Fees

Falls under: Independence and Accountability

4.2.3 Evaluation of courts financial Auditing Practices

No Forms: External Audit Preferred

Automation Projects

Example 5: Public Web Site for Citizens

Falls under: Public Trust and Confidence

5.1.3 General Public's Perception of Court Performance

Form 5.1.3: Survey of Public Perception of Court Performance (put it on-line)

Leveraging Resources

SJI-Voice Recognition for Stenomaskers

Problem=Access, Expedition, Fairness

Byrne/NCAP-Equipment, Software, Staff

Problem=Public Safety, Accountability

STOP-Electronic Filing APO

Problem=Access, Public Safety

Leveraging Resources

VAWA-Electronic Reporting of APO

Problem=Access, Expedition, Safety

NCHIP- Reporting of Dispositions

Problem=Access, Expedition, Safety

Leveraging Resources

VOCA-Victim notification

Problem=Public Trust/Confidence

JAIBG-Juvenile Information Sharing

Problem=Access, Expedition, Assessment

CDL/ROC-Auto Traffic Dispositions

Problem=Access, Fed Mandate, Safety

Leveraging Resources

Title IV-D & Title IV-E-Anything

Problem=Entitlements

Child Safety/Support

Congressional Earmarks- \$15 million

Problem=Stories from Juvenile Courts

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Overview

- Budget items/grants are strategic plans to solve problems
- Performance based budgeting requires that we identify measures and track outcomes
- Court Performance Standards are an effective tool chest to bring budgeting and performance measurement together
- Grants are good enhancements not solutions